

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
6491800-114

In Re Application Of: **Bruce M. Nesbitt**

Serial No.
10/649,199

Filing Date
August 27, 2003

Examiner
Unknown

Group Art Unit
Unknown

Title: **ANTI-MICROBIAL ELECTROSURGICAL ELECTRODE AND METHOD OF MANUFACTURING SAME**



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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ANTI-MICROBIAL ELECTROSURGICAL ELECTRODE AND METHOD OF MANUFACTURING



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **11-11-2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A handwritten signature in black ink, appearing to be "Renee Street".

Signature of Person Mailing Correspondence

Renee Street

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

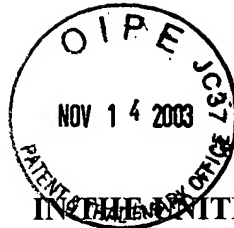
A handwritten signature in black ink, appearing to be "Adam H. Masia".

Signature

Dated: **November 11, 2003**

Adam H. Masia
Reg. No. 35,602
BELL, BOYD & LLOYD LLC
P.O. Box 1135
Chicago, IL 60690-1135
Phone: 312-807-4284

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bruce M. Nesbitt
Appl. No.: 10/649,199
Conf. No.: Unknown
Filed: August 27, 2003
Title: ANTI-MICROBIAL ELECTROSURGICAL ELECTRODE AND METHOD OF
MANUFACTURING SAME
Art Unit: Unknown
Examiner: Unknown
Docket No.: 6491800-114

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicant requests that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
3,990,998	November 9, 1976	DeLuca et al.
4,074,718	February 21, 1978	Morrison, Jr.
4,338,360	July 6, 1982	Cavanagh et al.
4,486,508	December 4, 1984	Coughlin et al.
4,517,975	May 21, 1985	Garito et al.
4,785,807	November 22, 1988	Blanch
4,876,110	October 24, 1989	Blanch
4,900,710	February 13, 1990	Soukiassian et al.
4,987,157	January 22, 1991	Smart et al.
5,004,034	April 2, 1991	Smart et al.
5,030,218	July 9, 1991	Alexander
5,078,082	January 7, 1992	Van Dyk Soerewyn

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,100,402	March 31, 1992	Fan
5,169,675	December 8, 1992	Bartoszek-Loza et al.
5,185,184	February 9, 1993	Koran et al.
5,197,962	March 30, 1993	Sansom et al.
5,238,045	August 24, 1993	Park et al.
5,380,320	January 10, 1995	Morris
5,415,626	May 16, 1995	Goodman et al.
5,460,661	October 24, 1995	Maynard, Jr.
5,473,018	December 5, 1995	Namura et al.
5,476,552	December 19, 1995	Tucker et al.
5,492,769	February 20, 1996	Pryor et al.
5,496,270	March 5, 1996	Nettekoven
5,496,315	March 5, 1996	Weaver et al.
5,531,743	July 2, 1996	Nettekoven et al.
5,535,904	July 16, 1996	Tucker
5,579,725	December 3, 1996	Boshears
5,588,634	December 31, 1996	Nettekoven
5,591,141	January 7, 1997	Nettekoven
5,626,907	May 6, 1997	Hagiwara et al.
5,643,256	July 1, 1997	Urueta
5,693,050	December 2, 1997	Speiser
5,693,052	December 2, 1997	Weaver
5,697,926	December 16, 1997	Weaver
5,702,387	December 30, 1997	Arts et al.
5,752,951	May 19, 1998	Yanik
5,792,109	August 11, 1998	Ladd
5,843,080	December 1, 1998	Fleenor et al.
5,846,237	December 8, 1998	Nettekoven
5,885,280	March 23, 1999	Nettekoven et al.
5,885,281	March 23, 1999	Urueta
5,893,849	April 13, 1999	Weaver
5,925,039	July 20, 1999	Landingham

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,925,043	July 20, 1999	Kumar et al.
5,997,517	December 7, 1999	Whitbourne
6,004,317	December 21, 1999	Speiser
6,004,318	December 21, 1999	Garito et al.
6,039,735	March 21, 2000	Greep
6,053,910	April 25, 2000	Fleenor
6,063,207	May 16, 2000	Yu et al.
6,066,137	May 23, 2000	Greep
6,070,444	June 6, 2000	Lontine et al.
6,071,283	June 6, 2000	Nardella et al.
6,083,221	July 4, 2000	Fleenor et al.
6,090,107	July 18, 2000	Borgmeier et al.
6,132,427	October 17, 2000	Jones et al.
6,159,412	December 12, 2000	Fletcher et al.
6,174,309	January 16, 2001	Wrublewski et al.
6,214,000	April 10, 2001	Fleenor et al.
6,221,739	April 24, 2001	Gorelik
6,228,753	May 8, 2001	Lo et al.
6,270,903	August 7, 2001	Feng et al.
6,287,632	September 11, 2001	Nishio et al.
6,291,084	September 18, 2001	Darolia et al.
6,306,176	October 23, 2001	Whitbourne
6,332,490	December 25, 2001	Griggs
6,409,725	June 25, 2002	Khandkar et al.
6,454,764	September 24, 2002	Fleenor et al.
6,511,479	January 28, 2003	Gentelia et al.
6,544,258	April 8, 2003	Fleenor et al.
6,582,424	June 24, 2003	Fleenor et al.
Des. 377,524	January 21, 1997	Lipp
Des. 399,561	October 13, 1998	Ellingson
Des. 402,030	December 1, 1998	Roberts et al.
Des. 402,031	December 1, 1998	Roberts et al.

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
US 2001/0021848	September 13, 2001	Fleenor et al.
US 2001/0031964 A1	October 18, 2001	Gentelia et al.
US 2002/0111622 A1	August 15, 2002	Khandkar et al.
US 2003/0109864 A1	June 12, 2003	Greep et al.
US 2003/0109865 A1	June 12, 2003	Greep
US 2003/0163125 A1	August 28, 2003	Greep

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<u>Document No.</u>	<u>Date</u>	<u>Country</u>
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EP 0 761 174	March 12, 1997	Europe
EP 0 479 482	April 8, 1992	Europe

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“Basics of Design Engineering” published by Penton Media, Inc. in 1999.

“The Remarkable Fluoropolymer Coating That Lasts 100 Times Longer Than Other Coatings” by Whitford Corporation, published prior to 2002.

“Utah Medical Products Inc. Specialized Dissection Electrodes” from <http://www.utahmed.com/dissect.htm>, 2000-2002, printed on June 18, 2003.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

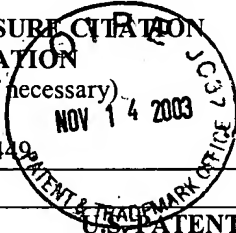
BY



Adam H. Masia
Reg. No. 35,602
P.O. Box 1135
Chicago, Illinois 60690-1135
Phone: (312) 807-4284

Dated: November 11, 2003

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 6491800-114	Application No. 10/649,199
	Applicant Bruce M. Nesbitt	
	Filing Date August 27, 2003	Group Unknown



U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	3,990,998	11-09-76	DeLuca et al.			
	4,074,718	02-21-78	Morrison, Jr.			
	4,338,360	07-06-82	Cavanagh et al.			
	4,486,508	12-04-84	Coughlin et al.			
	4,517,975	05-21-85	Garito et al.			
	4,785,807	11-22-88	Blanch			
	4,876,110	10-24-89	Blanch			
	4,900,710	02-13-90	Soukiassian et al.			
	4,987,157	01-22-91	Smart et al.			
	5,004,034	04-02-91	Smart et al.			
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	5,169,675	12-08-92	Bartoszek-Loza et al.			
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	5,415,626	05-16-95	Goodman et al.			
	5,460,661	10-24-95	Maynard, Jr.			
	5,473,018	12-05-95	Namura et al.			
	5,476,552	12-19-95	Tucker et al.			
	5,492,769	02-20-96	Pryor et al.			
	5,496,270	03-05-96	Nettekoven			
	5,496,315	03-05-96	Weaver et al.			
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	5,535,904	07-16-96	Tucker			
	5,579,725	12-03-96	Boshears			

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

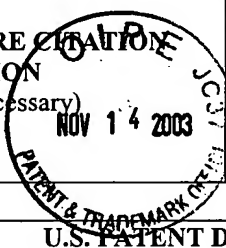
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	5,588,634	12-31-96	Nettekoven			
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	5,626,907	05-06-97	Hagiwara et al.			
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	5,693,050	12-02-97	Speiser			
	5,693,052	12-02-97	Weaver			
	5,697,926	12-16-97	Weaver			
	5,702,387	12-30-97	Arts et al.			
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	6,066,137	05-23-00	Greep			
	6,070,444	06-06-00	Lontine et al.			
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	6,132,427	10-17-00	Jones et al.			
	6,159,412	12-12-00	Fletcher et al.			
	6,174,309	01-16-01	Wrublewski et al.			
	6,214,000	04-10-01	Fleenor et al.			
	6,221,739	04-24-01	Gorelik			
	6,228,753	05-08-01	Lo et al.			
	6,270,903	08-07-01	Feng et al.			
	6,287,632	09-11-01	Nishio et al.			
	6,291,084	09-18-01	Darolia et al.			
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	6,332,490	12-25-01	Griggs			
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	US 2001/0021848	09-13-01	Fleenor et al.			
	US 2001/0031964 A1	10-18-01	Gentelia et al.			
	US 2002/0111622 A1	08-15-02	Khandkar et al.			
	US 2003/0109864 A1	06-12-03	Greep et al.			
	US 2003/0109865 A1	06-12-03	Greep			
	US 2003/0163125 A1	08-28-03	Greep			

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FOREIGN PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	WO 98/18396	May 7, 1998	WIPO				
	EP 0 779 060	06-18-97	Europe				
	EP 0 761 174	03-12-97	Europe				
	EP 0 479 482	04-08-92	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner's Initials	
	"Basics of Design Engineering" published by Penton Media, Inc. in 1999.
	"The Remarkable Fluoropolymer Coating That Lasts 100 Times Longer Than Other Coatings" by Whitford Corporation, published prior to 2002.
	"Utah Medical Products Inc. Specialized Dissection Electrodes" from http://www.utahmed.com/dissect.htm , 2000-2002 (Last modified: May 30, 2002).

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